

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/565,678	01/24/2006	Hiroshi Nakamura	284303US0PCT	2912	
22850	7590 11/07/	006	EXAM	EXAMINER	
O. 22	MCCLELLAND	MORRIS, PATRICIA L			
OBLON, SF 1940 DUKE	,	ND, MAIER & NEUSTADT, P.C.	ART UNIT	PAPER NUMBER	
ALEXAND	RIA, VA 22314		1625	<u> </u>	
			DATE MAILED: 11/07/200	6	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)	
	10/565,678	NAKAMURA ET AL.	
Office Action Summary	Examiner	Art Unit	
	Patricia L. Morris	1625	
The MAILING DATE of this communication	on appears on the cover sheet w	ith the correspondence address	
Period for Reply			
A SHORTENED STATUTORY PERIOD FOR I WHICHEVER IS LONGER, FROM THE MAILI - Extensions of time may be available under the provisions of 37 after SIX (6) MONTHS from the mailing date of this communica: - If NO period for reply is specified above, the maximum statutory - Failure to reply within the set or extended period for reply will, b Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	NG DATE OF THIS COMMUNION CFR 1.136(a). In no event, however, may a stition. • period will apply and will expire SIX (6) MON by statute, cause the application to become All	CATION. reply be timely filed ITHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed or	1		
•	This action is non-final.		
3) Since this application is in condition for a	•	ers prosecution as to the merits is	
closed in accordance with the practice up		· · · · · · · · · · · · · · · · · · ·	
·	, , , , , , , , , , , , , , , , , , ,		
Disposition of Claims	•		,
4) Claim(s) 1-10 is/are pending in the application			
4a) Of the above claim(s) is/are w	ithdrawn from consideration.		
5) Claim(s) is/are allowed.			
6)☐ Claim(s) is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) <u>1-10</u> are subject to restriction a	nd/or election requirement.		
Application Papers			
9)☐ The specification is objected to by the Ex	aminer.		•
10)☐ The drawing(s) filed on is/are: a)[☐ accepted or b)☐ objected to	by the Examiner.	
Applicant may not request that any objection	to the drawing(s) be held in abeyar	nce. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the	•		
11) The oath or declaration is objected to by	the Examiner. Note the attache	d Office Action or form PTO-152.	
Priority under 35 U.S.C. § 119			
•			
12) Acknowledgment is made of a claim for for	oreign priority under 35 U.S.C.	3 119(a)-(d) or (f).	
a)⊠ All b) Some * c) None of:			
1. Certified copies of the priority doc		unalization No	
2. Certified copies of the priority doc		- · · · · · · · · · · · · · · · · · · ·	
3. Copies of the certified copies of the	· ·	received in this National Stage	
application from the International I	, , , , , , , , , , , , , , , , , , , ,	received	
* See the attached detailed Office action for	a list of the certified copies not	received.	
Attachment(s)			
1) Notice of References Cited (PTO-892)	4) Interview	Summary (PTO-413)	
2) Notice of Draftsperson's Patent Drawing Review (PTO-9	48) Paper No(s)/Mail Date	
Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	5) Notice of I	nformal Patent Application	

Art Unit: 1625

DETAILED ACTION

Election/Restrictions

Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in response to this action, to elect a single invention to which the claims must be restricted.

Group I, the instances wherein R_b is pyridine.

Group II, the instances wherein R_b is phenyl.

Group III, Claims 4, 9 and 10, drawn to a process.

Group IV, Claims 5, 9 and 10, drawn to a process.

Group V, Claims 6, 9 and 10, drawn to a process.

Group VI, Claims 7, 9 and 10, drawn to a process.

Group VII, Claims 8-10, drawn to a process.

The inventions listed as Groups I-VII do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

Groups I-II and III-VII are related as products and processes of preparing. In the instant case, the products as claimed can be made by materially different processes as evidenced by applicants'own claims and specification.

Groups I and II herein lack unity of invention under PCT Rule 13.1 and 13.2 since the compounds defined in the claims lack a significant structural element qualifying as the special technical feature that defines a contribution over the prior art. The compounds claimed contain a triazole ring, which does not define a contribution over the prior art. The substituents on the structure vary extensively and when taken as a whole result in vastly different compounds.

Accordingly, unity of invention is considered to be lacking and restriction of the invention in accordance with the rules of unity of invention is considered to be proper.

Claims 1-3 will be examined to the extent readable on the elected compounds.

In the event of an election of either Groups I or II, applicants are also requested to elect one process of preparing.

37 CFR 1.475(b) an international or a national stage application containing claims drawn to different categories of invention will be considered to have unity of invention if the claims are drawn only to one of the following combination of categories:

- (1) A product and a process specifically adapted for the manufacture of said product; or
- (2) A product and process of use of said product; or
- (3) A product, a process specially adapted for the manufacture of the said product, and a use of the said product; or
- (4) A process and an apparatus or means specifically designed for carrying out the said process; or
- (5) A product, a process specifically adapted for the manufacture of the said product, and an apparatus or means specifically designed for carrying out the said process.

Art Unit: 1625

- (c) If an application contains claims to more or less than one of the combination of categories of inventions set forth in paragraph (b) of this section, unity of invention might not be present.
- (d) If multiple products, processes of manufacture, or uses are claimed, the first invention of the category first mentioned in the claims of the application and the first recited invention of each of the other categories relied thereto will be considered as the main invention in the claims.
- (e) The determination whether a group of inventions is so linked as to form a single general inventive concept shall be made without regard to whether the inventions are claimed in separate claim or as alternatives within a single claim.

Once applicants have elected a compound from either Groups I or II, they are permitted to have, in view of the fact that this application enters the national stage through 35 U.S.C. 371, no more than <u>one</u> process of preparing that elected product. See PCT Rule 13.2.

Also, note 1134 OG 197, where it is specifically recited that under PCT Rule 13.2(i), claims are permitted to <u>one</u> product and <u>one</u> process of preparing.

Because these inventions lack unity of invention for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter restriction for examination purposes as indicated is proper

Applicant may file the divisional subject matter noted in divisional applications. If applicant wishes a generic expression of the elected invention the claims here need be amended to reflect that election.

This restriction requirement is being written as previous experience has indicated that with Foreign applicants and the inherent time delays, applicants' representative is better able to make

Art Unit: 1625

an informed, correct, election of the invention applicants would wish to have prosecuted here if applicants are given the opportunity to see the restriction requirement laid out, and given the time to make an informed decision.

Applicant is advised that the response to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patricia L. Morris whose telephone number is (571) 272-0688.

The examiner can normally be reached on Mondays through Fridays.

The fax phone number for the organization where this application or proceeding is assigned is 571-272-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

Art Unit: 1625

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Patricia L. Morris Primary Examiner Art Unit 1625

plm November 1, 2006